

DOCKET NO.: C01039.70057

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Krieg et al.

Serial No.:

09/965,101

Conf. No.:

3959

Filed: For: September 26, 2001 VECTORS AND METHODS FOR IMMUNIZATION OR THERAPEUTIC

PROTOCOLS

Examiner:

D. Nguyen

Art Unit:

1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the **3** day of January, 2003.

Mario A. Travisar Bas No. 4

Maria A. Trevisan, Reg. No. 48,207

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL

Sir:

Transmitted herewith are the following documents:

[X] Response to Restriction Requirement

[X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If any fee is determined to be due by the Examiner, the Examiner is authorized to charged the appropriate fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Maria A. Trevisan, Reg. No. 48,207

Wolf, Greenfield & Sacks, P.C.

Federal Reserve Plaza, 600 Atlantic Avenue

Boston, MA 02210-2211

Telephone No.: (617) 720-3500

Docket No. C01039.70057 Date: January 3, 2003

x01.18.03



F02/03 Receip +#8

DOCKET NO.: C01039.70057.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Krieg et al.

Serial No.:

09/965,101

Confirmation No.:

3959

Filed:

September 26, 2001

For:

VECTORS AND METHODS FOR IMMUNIZATION OR

THERAPEUTIC PROTOCOLS

Examiner:

Dave Trong Nguyen

Art Unit:

1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to OFFICE OF INITIAL PATENT EXAMINATION'S FILING RECEIPT CORRECTIONS, Commissioner for Patents, Washington, D.C. 20231, on the 3 day of January, 2003.

Maria A. Trevisan, Reg. No.: 48,207

OFFICE OF INITIAL PATENT EXAMINATION'S FILING RECEIPT CORRECTIONS

Commissioner For Patents Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

[X] Request for Corrected Filing Receipt

[X] Copy of the Filing Receipt with Corrections Marked in Red Ink

[X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Serial No.: 09/965,101

Docket No.: C01039.70057.US

Page 2 of 2

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted, Krieg et al., Applicant

By:

Maria A. Trevisan, Reg. No.: 48,207

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)720-3500

Docket No. C01039.70057.US

Date: January 3, 2003

xNDDx=



DOCKET NO.: C01039.70057.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Krieg et al.

Serial No.:

09/965,101

Confirmation No.:

3959

Filed:

September 26, 2001

For:

VECTORS AND METHODS FOR IMMUNIZATION OR

THERAPEUTIC PROTOCOLS

Examiner:

Dave Trong Nguyen

Art Unit:

1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to OFFICE OF INITIAL PATENT EXAMINATION'S FILING RECEIPT CORRECTIONS, Commissioner for Patents, Washington, D.C. 20231, on the January, 2003.

Maria A. Trevisan, Reg. No.: 48,207

OFFICE OF INITIAL PATENT EXAMINATION'S FILING RECEIPT CORRECTIONS

Commissioner For Patents Washington, D.C. 20231

Dear Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Please make the following corrections as indicated in red on the attached copy of the Filing Receipt:

Title (s)

From:

VECTORS AND METHODS FOR IMMUNIZATION OR THERAPUETIC PROTOCOLS

To:

VECTORS AND METHODS FOR IMMUNIZATION OR THERAPEUTIC PROTOCOLS

Serial No.: 09/965,101 Docket No.: C01039.70057.US

Page 2 of 2

j

Please add the information as listed above and as indicated in red on the enclosed copy of the Filing Receipt. Applicant respectfully requests that this correction be made and a corrected Filing Receipt be issued. If you have any questions, please do not hesitate to call the undersigned at (617) 720-3500.

Respectfully submitted, Krieg, et al., Applicants

Bv:

Maria A. Trevisan, Reg. No.: 48,207 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)720=3500

Docket No. C01039.70057.US

Date: January <u>13</u>, 2003

xNDDx





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/965,101	09/26/2001	1632	1250	C1039/7057 (HCL/MAT)	15	50	2

CONFIRMATION NO. 3959

FILING RECEIPT

OC000000007093844

Maria A. Trevisan Wolf, Greenfield & Sacks, P.C. Federal Reserve Plaza 600 Atlantic Avenue Boston, MA 02210

Date Mailed: 11/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Arthur M. Krieg, Wellesely, MA: Heather L. Davis, Ottawa, CANADA; Tong Wu, Hull, CANADA; Joachim Schorr, Hilden, GERMANY;

D mestic Priority data as claimed by applicant

DOCKETED DEC 3 2001

File Folder EÇB **Docket Entry Docket Cross Off Order Copies** Annulties

Confirmation

initials

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

THIS APPLICATION IS A DIV OF 09/082,649 05/20/1998

WHICH CLAIMS BENEFIT OF 60/047,209 05/20/1997 AND CLAIMS BENEFIT OF 60/047,233 05/20/1997

Non-Publication Request: No

Early Publication Request: No

Title

Vectors and methods for immunization or therapuetic protocols therapeutic

Preliminary Class 514



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

13

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s)-filed-under-37 CFR 1.53(d). This license is not-retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).